



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	System, Method and Computer Program Product for Processing Event Records																																		
<p>Application Number : 10/627033 </p> <p>Confirmation Number: 3709</p> <p>First Named Applicant: John Gavan</p> <p>Attorney Docket Number: COS94041C1</p> <p>Art Unit:</p> <p>Examiner:</p> <p>Search string: (6601048 or 6732082).pn</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>/C.A./</td><td>1</td><td>6601048</td><td>2003-07-29</td><td>Gavan et al.</td><td></td><td></td><td></td></tr><tr><td>/C.A./</td><td>2</td><td>6732082</td><td>2004-05-04</td><td>Gavan et al.</td><td></td><td></td><td></td></tr></tbody></table> <p>Signature</p> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td>/Charles Agwumezie/</td><td>06/19/2007</td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	/C.A./	1	6601048	2003-07-29	Gavan et al.				/C.A./	2	6732082	2004-05-04	Gavan et al.				Examiner Name	Date	/Charles Agwumezie/	06/19/2007
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass																												
/C.A./	1	6601048	2003-07-29	Gavan et al.																															
/C.A./	2	6732082	2004-05-04	Gavan et al.																															
Examiner Name	Date																																		
/Charles Agwumezie/	06/19/2007																																		

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. COS94041C1	SERIAL NO. NOT ASSIGNED	
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT(S): Gavan et al.		
				FILING DATE July 24, 2003	GROUP NOT ASSIGNED	

U.S. PATENT DOCUMENTS							
* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
	/C.A./	4,002,848	01/1997	Stein			
		4,154,983	05/1979	Pedersen			
		4,464,543	08/1984	Kline et al.			
		4,805,209	02/1989	Baker, Jr. et al.			
		5,048,079	09/1991	Harrington et al.			
		5,003,584	03/1991	Benyacar et al.			
		5,159,698	10/1992	Harrington et al.			
		5,233,642	08/1993	Renton			
		5,263,120	11/1993	Bickel			
		5,291,547	03/1994	Boatwright et al.			
		5,311,572	05/1994	Friedes et al.			
		5,313,641	05/1994	Simcoe et al.			
		5,325,290	06/1994	Cauffman et al.			
		5,327,144	07/1994	Stilp et al.			
		5,335,278	08/1994	Matchett et al.			
/C.A./	5,345,595	09/1994	Johnson et al.				

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
					YES	NO	
96/31043	10/1996	WO					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
/C.A./	Curet, O., The application of case-based reasoning to assist accountant in identifying top management fraud: a study of the problem domain and the methodological issues in the development, implementation and evaluation of a case-based learning and reasoning tool, Case Based Reasoning: Prospects for Applications, IEE Colloquium on, 1995, Pages: 8/1-8/4
/C.A./	Garner, B.; Chen, F., Hypothesis generation paradigm for fraud detection, TENCON '94. IEEE Region 10's Ninth Annual International Conference. Theme: Frontiers of Computer Technology Proceedings of 1994, 22-26 Aug. 1994, Pages 197-201 vol. I
/C.A./	Fawett, T., "Adaptive Fraud Detection", Journal of Data Mining and Knowledge Discovery, Copyright 1997, Kluwer Academic Publishers, Norwell, MA, Vol. 1 1997, pp. 291-316

EXAMINER /Charles Agwumezie/	DATE CONSIDERED 06/19/2007
-------------------------------------	-----------------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. COS94041C1	SERIAL NO. NOT ASSIGNED			
LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i>				APPLICANT(S): Gavan et al.				
				FILING DATE July 24, 2003	GROUP NOT ASSIGNED			
U.S. PATENT DOCUMENTS								
* EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
/C.A./		5,606,600	02/1997	Elliot et al.				
		5,627,886	05/1997	Bowman				
		5,640,446	06/1997	Everett et al.				
		5,768,354	06/1998	Lange et al.				
		5,790,645	08/1998	Fawcett et al.				
		5,805,686	09/1998	Moller et al.				
		5,854,834	12/1998	Gottlieb et al.				
		5,875,236	02/1999	Jankowitz et al.				
		5,907,602	05/1999	Peel et al.				
		5,963,625	10/1999	Kawecki et al.				
	/C.A./		6,208,720	03/2001	Curtis et al.			
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
EXAMINER /Charles Agwumezie/					DATE CONSIDERED 06/19/2007			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								